

Financial Planning & Investment Advisory Firms

COMPILED BY ERICA JOINER WEST

Ranked by Value of Assets Under Management • Source: Business Alabama Surveys

RANK	FIRM	TOP EXECUTIVE	ADDRESS CITY, STATE & ZIP	PHONE FAX	WEBSITE	VALUE OF ASSETS UNDER MANAGEMENT	TOTAL BROKERAGE ASSETS	NUMBER OF ALABAMA EMPLOYEES	NUMBER OF EMPLOYEES COMPANYWIDE
1	Highland Associates	J.W. Echols III	2545 Highland Ave. S., Ste. 200 Birmingham, AL 35205	205-933-8664 205-933-7688	highlandassoc.com	\$26 billion	0	28	30
2	Captrust	Pat Bills, Paul Owen	2000 Southbridge Pkwy., Ste. 630 Birmingham, AL 35209	205-917-5399 919-870-8891	captrust.com	\$3.10 billion	DND	2	525
3	Welch Hornsby Inc.	Edward Welch Jr.	One Commerce St., Ste. 800 Montgomery, AL 36104	334-272-1192 334-244-9091	welchhornsby.com	\$2.9 billion	\$5.7 billion	26	27
4	Peachtree Planning	Andrew Meehan	2151 Highland Ave. S., Ste. 100 Birmingham, AL 35205	205-533-9452 205-930-9430	peachtreeplanning.com	\$2.5 billion	DND	15	130
5	Warren Averett Management LLC	Joshua Reidinger	2500 Acton Rd. Birmingham, AL 35243	205-979-4100 205-979-6313	waasset.com	\$2.2 billion	\$2.55 billion	42	51
6	Bridgeworth LLC	DeLynn Zell	3800 Colonnade Pkwy. Birmingham, AL 35243	205-208-8709 205-208-8701	bridgeworthllc.com	\$1.3 billion	\$222.9 million	51	51
7	Oakworth Capital Bank	Scott Reed	850 Shades Creek Pkwy. Birmingham, AL 35209	205-263-4700	oakworthcapital.com	\$1.3 billion	DND	90	90
8	Jackson Thornton Asset Management LLC	Tommy West	200 Commerce St., Ste. 300 Montgomery, AL 36104	334-834-7660 334-956-5090	jt-am.com	\$1.15 billion	DND	17	17
9	Mitchell McLeod Pugh & Williams	Richard Mitchell, Douglas McLeod	2610 Dauphin St. Mobile, AL 36608	251-471-2027 251-471-2302	mmpw.com	\$1.14 billion	DND	12	12
10	Kelley & Mullis Wealth Management	Michael Mullis	2008 Stonegate Trail, Ste. 112 Vestavia Hills, AL 35242	205-733-8006 205-733-8870	kmwealthmanagement.com	\$640 million	\$640 million	8	8
11	Southern Financial Group LLC	Todd Holder, David Painter	700 Corporate Pkwy. Birmingham, AL 35242	205-383-1415 205-383-1416	thesouthernfinancialgroup.com	\$604 million	\$604 million	8	8
12	Porter, White & Co.	Goodloe White	15 Richard Arrington Jr. Blvd. N. Birmingham, AL 35203	205-252-3681	pwco.com	\$474 million	DND	11	11
13	CapSouth Partners Inc. dba CapSouth Wealth Management	Donald Bolden	2216 W. Main St. Dothan, AL 36301	334-673-8600 334-673-8625	capsouthwm.com	\$407 million	DND	13	18
14	The Boyd Financial Group	Thomas Boyd	808 Alabama Ave. Selma, AL 36701	334-875-7020 334-418-8825	boydfinancialgroup.com	\$389 million	\$389 million	5	5
15	Meld Financial Inc.	Mark McGarvey	3008 Pump House Rd. Birmingham, AL 35243	205-967-4200 205-967-9724	meldfinancial.com	\$365 million	\$365 million	10	12
16	Monroe Vos Consulting Group Inc.	Timothy Callahan	2000-A SouthBridge Pkwy., Ste. 520 Birmingham, AL 35209	205-879-6824 205-879-6863	monroevos.com	\$359 million	\$359 million	2	18
17	Grinkmeyer Leonard Financial	Valerie Leonard	1950 Stonegate Dr., Ste. 275 Birmingham, AL 35242	205-970-9088 866-774-9029	grinkmeyerleonard.com	\$219.1 million	\$47.2 million	7	7
18	Strauss Financial Group Inc.	Heidi Strauss	2201 Cahaba Valley Dr. Birmingham, AL 35242	205-967-9595	straussfinancial.com	\$126 million	DND	8	8
19	1919 Investment Counsel	B. Hanson Slaughter	2311 Highland Ave. S., Ste. 190 Birmingham, AL 35205	203-414-3350 205-949-3503	1919ic.com	\$63.2 million	\$12.2 billion	9	127

The following companies did not provide the information by which this listing is ranked:

20	Edward Jones	Penny Pennington	22394 Miflin Rd., Ste. 202 Foley, AL 36535	251-943-3399 888-860-6265	edwardjones.com	DND	\$1.1 trillion	485	45,000
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